

PATENT **09/171732**  
 USSN - New US Patent Application  
 Atty Docket 98123

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## New patent application:

       Regular  
       Convention  
       File Wrapper Continuation  
       Divisional/Continuation  
       Continuation-in-Part  
       Reissue Application  
  x   PCT National Phase  
       PCT Application

## Applicant(s):

Michael Strobel, Jurgen Eichhorn  
 and Karl Hausladen

## Serial No.

## Title:

ORTHOPEDIC SPLINT

PCT No PCT/DE 98/00328CERTIFICATE OF EXPRESS MAIL DEPOSIT"Express Mail" Mailing Label No. EM 253513413 USDate of Deposit: October 8, 1998

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Thomas R. Vigil

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Thomas R. Vigil  
 (Signature of person mailing paper or fee)

The documents enclosed with and being filed with this paper are:

  x   application transmittal letter  
  x   Patent application:  
  4   pages of specification  
  1   pages of claims containing   6   claims  
  x   Abstract of the Disclosure  
  4   sheets of drawings containing   7   FIG'S.  
  x   executed Declaration and Power of Attorney  
  x   copy of Declaration and Power of Attorney  
       ~~from parent application~~ *unsigned*  
       specification and claims in foreign language  
       PCT Article 19 Amendment  
       translation of PCT Article 19 Amendment  
       Assignment  
       Small Entity Declaration(s)  
  x   International Search Report & English translation thereof  
       translation of amended specification and/or claims  
       Check(s) in the amounts of:                       
       Petition for Extension of Time in prior Application  
       Serial No.                      for                      month(s)  
  x   Preliminary Amendment Before Action  
  x   ~~Disclosure Statement including~~ USPTO Form 1449 and  
       copies of   6   U.S. reference(s)  
  x   Letter re application being filed with no filing fee  
       and no executed Declaration  
  x   Copy of PCT Publication No. WO 98/34571

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (1/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	98123
	First Inventor or Application Identifier	Michael Strobel
	Title	ORTHOPEDIC SPLINT
	Express Mail Label No.	EM 253513413 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="6"/> ] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the invention</li><li>- Brief Summary of the invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text" value="4"/> ]</p> <p>4. Oath or Declaration [Total Pages <input type="text" value="10"/> ]</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li></ul> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>	
<b>ACCOMPANYING APPLICATION PARTS</b>	
<p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) (PTO-1449) <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: Applicant hereby petitions for an extension of time whenever such a petition is required * A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</p>	
<p>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____</p> <p>Prior application information: Examiner _____ Group / Art Unit: _____</p>	

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Signature	<i>Thomas R. Vigil</i>	Date	October 8, 1998

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October 8, 1998  
File 98123

Michael Strobel, et al.  
New US Ntl Phase Patent Application  
For: ORTHOPEDIC SPLINT

We have received: New US application (6 pages); 4 sheets of  
formal drawings; PTO Form 1449 & copies of 6 prior US refer-  
ences; Utility Patent Application Transmittal Letter; letter  
re: application being filed with no filing fee and with no  
executed Declaration; copy of International Search Report &  
English translation thereof; copy of PCT Publication No.  
WO 98/34571; Certificate of Express Mail Deposit



10-08-1998

U.S. Patent & TM/Oic/TM Mail Rcpt Dt #31

09/171732

303 Rec'd PCT/PTO 08 OCT 1998

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October 8, 1998

Assistant Commissioner of Patents  
Washington, D.C. 20231

Re: Our File Ref. 98123  
New U.S. Patent Application  
National Phase of PCT/DE 98/00328  
Inventors - Michael Strobels, Jürgen Eichhorn  
and Karl Hausladen  
For: ORTHOPEDIC SPLINT

Dear Sir:

The enclosed application is being filed under rule 1.16(e) and Rule 1.10 with no executed Declaration and without any filing fee.

Please be advised that we will file the executed Declaration and appropriate filing fee upon receipt of your Notice To File Missing Parts.

Very truly yours,

VIGIL & ASSOCIATES

*Tom Vigil*

Thomas R. Vigil

TRV/st  
Enclosures